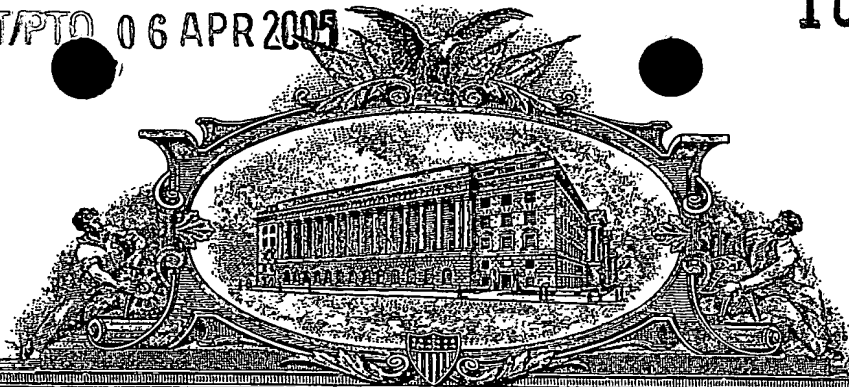


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## CERTIFICATE UNDER 37 CFR 1.10.

"Express Mail" mailing label number. EV 143556031 US

Date of Deposit October 8, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231

 By John Junkins  
 Name

## REQUEST FOR PROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(c)

## BOX PROVISIONAL PATENT APPLICATION

 Commissioner for Patents  
 Washington, DC 20231

Dear Sir:

This is a request for filing a Provisional application for patent under 37 CFR § 1.53(c) entitled FLUID FILTERS AND METHODS by the following inventor(s):

Full Name Of Inventor	Family Name Harder	First Given Name David	Second Given Name B.
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- ☒ Enclosed is the Provisional application for patent as follows: 23 pages of specification, and 12 sheets of drawings.
- ☐ Small entity status is claimed pursuant to 37 CFR 1.27.

3. ☒ Payment of Provisional filing fee under 37 C.F.R. § 1.16(k) :  
☒ Attached is a check in the amount of \$ 160.00.  
☐ Please charge Deposit Account No. 13-2725.  
☐ PAYMENT OF THE FILING FEE IS BEING DEFERRED.
4. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
5. ☐ Enclosed is an Assignment of the invention to \_\_\_\_\_, Recordation Form Cover Sheet and a check for \$ \_\_\_\_\_ to cover the Recordation Fee.
6. ☐ Also Enclosed:
7. ☐ The invention was made by the following agency of the United States Government or under a contract with the following agency of the United States Government:
8. ☒ Address all future communications to the Attention of Julie R. Daulton (may only be completed by attorney or agent of record) at the address below.
9. ☒ A return postcard is enclosed.

Respectfully submitted,  
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 P.O. Box 2903  
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Date: 8 October 2002

Julie R. Daulton  
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## **FLUID FILTER AND METHODS**

### **TECHNICAL FIELD**

This disclosure relates to fluid filtration, filter elements, cartridges, systems, and methods of assembly and use. In particular, this disclosure concerns filters, filter cartridges, and methods for purifying fluids for uses in connection with, for example, engines or industrial purposes. Such applications may include lubrication filters, hydraulic filters, fuel filters, and spin-on filters for gases.

### **BACKGROUND**

Filtration is needed in order to purify fluids to protect equipment. Filtration is used in, for example, internal combustion engine systems, hydraulic systems, compressors, generators, and others. In typical systems, the filtration is accomplished by using a filter device having some sort of filtration media. After a period of use, the filter media becomes clogged and the restriction across the media rises to an unacceptable level. At that time, the filter device needs to be serviced. In some systems, the entire filter device is disposed of and replaced with a new filter device. In some systems, only certain internal components of the filter device are replaced. Still in other systems, the filter media is merely cleaned out.

One type of filter is a "spin-on filter." Spin-on filters are disposable units, which typically include a single-use housing holding a permanently mounted, non-replaceable filter element (or filter cartridge). The canister holding the filter cartridge is usually spun onto a filter head by threaded engagement. The liquid to be cleaned passes from the filter head and into the housing for filtering. The cleaned liquid exits the housing the re-enters the filter head. After some period of use, the spin-on canister filter is removed from the filter head and is discarded. A new spin-on canister filter is then mounted onto the filter head.

Ways to reduce the manufacturing cost and the convenience and ease of use continue to be desirable. Improvements in other areas for filtration devices, methods of assembly, and methods of use also are desirable.

### SUMMARY

A fluid filter arrangement is disclosed having a housing, a filter cartridge oriented within the housing, and a projection arrangement. The projection arrangement is oriented to space the filter cartridge from a wall of the housing in order to define a fluid flow path between the filter cartridge and the housing wall.

In some embodiments, the projection arrangement is constructed to be part of an end cap oriented on a portion of the filter cartridge. In some embodiments, these parts are reversed. In some embodiments, the projection arrangement extends in an axial direction from the filter cartridge toward an open mouth of the housing. In other embodiments, the projection arrangement extends in a radial direction from the filter cartridge toward the wall of the housing. Other embodiments include variations in-between with the projection arrangement being at an angle including both radial and axial components.

Fluid filter arrangements as described herein can be part of a filter assembly. Such filter assemblies may typically include a filter head having a fluid flow inlet port and a fluid flow outlet port. The filter arrangement will be releasably securable to the filter head in order to remove at least some of the contaminants in the fluid flowing through the inlet port. The filter system can be part of an engine, a hydraulic system, a generator, a compressor, and others.

A filter cartridge is provided that can be used as part of filter arrangements as described herein. Typical filter cartridges may include a region of filter media and a projection arrangement extending from a portion of the filter cartridge.

Methods of constructing or assembling a filter and methods of filtering are described and utilize the types of constructions described herein. In one embodiment, a housing is provided, a filter cartridge is inserted into the housing, and projections are

engaged between the housing and the filter cartridge to secure the filter cartridge in the housing.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a schematic, cross-sectional view of one embodiment of a filter including a housing and a filter cartridge constructed according to principles of this disclosure;

FIG. 2 is a perspective view of one embodiment of an end cover having a projection arrangement utilized in the filter depicted in FIG. 1;

FIG. 3 is a cross-sectional view of the end cover depicted in FIG. 2;

FIG. 4 is a fragmented, cross-sectional view of a portion of a filter, similar to that shown in FIG. 1 and depicting another embodiment;

FIG. 5 is a fragmented, cross-sectional view showing a portion of another embodiment of a filter constructed according to principles of this disclosure;

FIG. 6 is an end view of a filter assembly including a filter head and a filter constructed according to principles of this disclosure;

FIG. 7 is a cross-sectional view taken along the line 7-7 of FIG. 6 showing the filter head and another embodiment of a filter constructed according to principles of this disclosure;

FIG. 8 is a cross-sectional view of the filter housing shown in FIG. 7;

FIG. 9 is an end view of the filter cartridge utilized in the filter arrangement of FIGS. 6 and 7;

FIG. 10 is a cross-sectional view of the filter cartridge depicted in FIG. 9, the cross-section being taken along the line 10-10 of FIG. 9;

FIG. 11 is an end view of the end cover utilized with the filter cartridge of FIGS. 9 and 10;

FIG. 12 is a cross-sectional view of the end cover depicted in FIG. 11, the cross-section being taken along the line 12-12;

FIG. 13 is a schematic, cross-sectional view depicting another embodiment of a filter arrangement constructed according to principles of this disclosure;

FIG. 14 is a schematic, cross-sectional view depicting another embodiment of a filter arrangement constructed according to principles of this disclosure;

FIG. 15 is a schematic, fragmented, cross-sectional view showing a portion of a filter arrangement constructed in accordance with another embodiment; and

FIG. 16 is a schematic, fragmented, cross-sectional view showing a portion of a filter arrangement constructed in accordance with another embodiment.

### DETAILED DESCRIPTION

A first embodiment of a fluid filter arrangement is depicted in FIGS. 1 - 3 generally at 20. By the term "filter arrangement" or "filter", it is meant, generally, an arrangement including a housing and a "filter cartridge" or "filter element" therein. The filter cartridge can either be removable and replaceable from the housing or permanently mounted within the housing. By "permanently mounted", it is meant that the cartridge or element cannot be removed and replaced from the housing without damaging either the housing or the filter element. The filter arrangement 20, in the example depicted, is removable and replaceable from a filter head, one example of which is depicted in FIG. 7 and described further below.

In the embodiment shown, the filter arrangement 20 includes a housing 22 and a filter cartridge 24 oriented therewithin. In the embodiment shown, the filter housing 22 is embodied in the form of a can 26 having a surrounding wall 28. The can 26 defines a closed end 30 and an open mouth or end 32. The can 26 can be many different shapes. For the purposes of illustration here, the can 26 is cylindrical having a circular cross-section. In the particular embodiment shown in FIG. 1, the surrounding wall 28 adjacent to the surrounding wall 32 defines at least two regions of differing diameters. In particular, there is a first region 34 and a second region 36. The first region 34, which is the region immediately adjacent to the open end 32 in the embodiment shown, has a larger diameter than the second region 36. Between the first region 34 and the second region 36 there is a step 38. Preferably, the step 38 forms a continuous, circumferential shoulder 40 that is useable to support and hold other structure, as described below. Terminating the wall 28 at the open end 32, in the embodiment shown in FIG. 1, is a U-

shaped hook 42 that is used to help secure or hold other filter structure, as will be described further below.

The element or cartridge 24 is shown operably oriented within an interior 44 of the housing 22. The cartridge 24 includes, in this embodiment, a tubular region of filter media 46. The filter media may be many different types of media including, for example, pleated media 48. The pleated media 48 includes a plurality of pleats 49 and in extension generally between the closed end 30 and the open end 32 and arranged in a tubular orientation to define an open filter interior 50. Types of pleated media 48 that can be used include paper, cellulose, synthetic media, and combinations thereof. In some applications, the media 46 can be treated with fine fiber, sized on the order of micron or sub-micron (fiber diameter).

In the embodiment shown, the tubular region of media 46 is supported by an inner support tube or inner liner 52. The inner liner 52 is circumscribed and surrounded by the media 46. The inner liner 52 may be constructed from expanded metal, perforated metal, or other materials (including non-metallic) allowing for permeability and fluid flow therethrough. In some embodiments, there may also be an additional support tube in the form of an outer liner that is positioned to circumscribe and surround the media 46.

In the embodiment shown, the filter cartridge 24 includes at least one end cap or end cover 54. In the embodiment shown, the end cover 54 is secured to first end 56 of the filter media 46. The end cover 54, in the embodiment shown, defines a hole or aperture 58 that is in fluid communication with the open filter interior 50. Further details regarding certain preferred end covers 54 are described further below.

In the embodiment shown, there is also a second cap or end cover 60 that is secured to a second end 62 of the filter media 46. The second end cover 60, in the embodiment shown, is closed and defines no openings. In particular, the second end cover 60 is secured to the second end 62 of the filter media and covers or closes the open filter interior 50 through a section 64. In the embodiment shown, the section 64 is dome shaped and extends into the open filter interior 50 to be circumscribed or surrounded by the filter media 46. The section 64 also engages a biasing mechanism 66, such as spring 67, oriented between the internal portion of the closed end 30 and the section 64. The spring 67 helps to keep the filter cartridge 24 in place within the housing 22.



The filter arrangement 20 also includes a mechanism 70 for securing the filter arrangement 20 to a filter head. In the embodiment shown, the mechanism 70 is in the form of a plate 72, in particular, a threaded plate 74. Thread plate 74 has the general cross-section shape of the housing 22. In the example shown, the thread plate 74 is cylindrical defining a circular cross-section. The thread plate 74 forms a ring 76 having at least first and second regions 78, 80 of differing diameters. The first region 78 has a diameter larger than the second region 80. Between the first region 78 and the second region 80 is a stepped portion 82. As can be seen in FIG. 1, the stepped portion 82 engages and is supported by the shoulder 40 of the housing 22. The first region 78 is aligned with and against the first region 34 of the wall 28, while the second region 80 is aligned with and against the second region 36 of the wall 28. The hook 42 of the wall 28 overlaps, holds, and engages the end tip 84 of the thread plate 74.

It should be appreciated by reviewing FIG. 1 that through the interaction and engagement between the hook 42, end tip 84, stepped portion 82, and shoulder 40, the thread plate 74 is secured to the can 26 through mechanical engagement. The plate 72, when secured in a permanent way to the can 26, as in the manner shown in FIG. 1, is considered to be part of the housing 22.

Lining the internal portion of the first region 78 of the thread plate 74 are a series of threads 86. Through the threads 86, there can be threaded-engagement with mating threads on a filter head in order to mount and remove, selectively, the filter arrangement 20 from the filter head. As can be seen in FIG. 1, the threads 86, in the particular embodiment shown, are only along the first region 78 of the thread plate 74. In the second region 80 of the thread plate 74, there is defined a groove 88. The groove 88, in the embodiment shown, supports and holds a seal member 90 for forming a seal with the filter head when operably mounted thereon.

The thread plate 74 defines an end rim 92 that is on an opposite end of the end tip 84. As such, the rim 92 is positioned more closely to the filter cartridge 24 than the end tip 84.

The filter arrangement 20 includes a projection arrangement 100 that is constructed and arranged to space the filter cartridge 24 from the housing 22 in order to define a fluid flow path between the filter cartridge 24 and the housing wall 28. This can

be seen, for example, by a review of FIG. 1. Fluid to be cleaned is directed into the filter arrangement 20. The fluid flows, in a forward-flow arrangement, into the volume 94 between the cartridge 24 and the wall 28. The projection arrangement 100 spaces the cartridge 24 from the housing 22 (the housing 22, in this embodiment, including the thread plate 74) to allow a fluid path for the fluid to flow in order to get to the volume 94 outside of the region of filter media 46. The fluid then flows through the filter media 46, which removes at least some of the contaminants therein. The cleaned fluid flows through the inner liner 52 and into the open filter interior 50. From there, the clean fluid flows through the aperture 58 and then exits the filter arrangement 20. The filter arrangement 20 is also operable in a reverse-flow manner. In reverse-flow, the fluid to be cleaned is directed into the open filter interior 50, through the filter media 46, into the volume 94, and then out of the housing 22.

The projection arrangement 100 includes at least one projection 102 extending between the filter cartridge 24 and the housing 22. The projection can extend axially, radially, or a combination thereof (having both an axial component and a radial component). The projection 102 can be on a variety of structures. In other embodiments, the projection 102 can be mounted on the housing. In other embodiments, the projection 102 can be mounted on the cartridge. On other embodiments, the projection 102 can be mounted on other structure.

In the particular embodiment shown in FIG. 1, the projection arrangement 100 is part of the end cover 54. The end cover 54 includes a base 96 and a side wall 98 (FIGS. 2 and 3). The base 96 is generally orthogonal to the first end 56 of the filter media 46. The side wall 98 is generally parallel to the direction of the filter media 46 and parallel to the wall 28 of the can 26. The side wall 98, in the embodiment shown, generally circumscribes the base 96. The aperture 58 is circumscribed by both the base 96 and the side wall 98. The base 96 extends between the aperture 58 and the side wall 98.

In the embodiment shown, the end cover 54 includes a media-containing portion 99 (FIG. 3). The media-containing portion is embodied in the form of, in the example shown in FIGS. 1 - 3, the side wall 98. The media-containing portion 99 forms a continuous wall 101 around the filter media 46.

Still in reference to FIGS. 2 and 3, the projection arrangement includes at least one and, in the embodiment shown, more than one projection 102 extending from at least one of the base 96 and the side wall 98. In the embodiment shown in FIGS. 2 and 3, there are four projections 103, 104, 105, 106 equally spaced from each other and extending from the side wall 98. In the embodiment shown, each of the projections 103, 106 extends in an axial direction from the side wall 98. By "axial", it is meant that the projections 103 - 106 define, generally, a longitudinal axis 108 (FIG. 3) that includes at least a portion that is substantially parallel to a central, longitudinal axis 109 (FIG. 1) of the filter arrangement 20.

In the embodiment shown in FIGS. 2 and 3, each of the projections 103 - 106 is in the form of tangs or tabs 110 extending from the end cap 54. In the example shown in FIG. 3, the tabs 110 include a base section 112 joined at a bight 114 to the wall 98. Extending from the base section 112 is a second section 116 defining a free end 118. In the embodiment shown, there is a bend or angle 120 between the base section 112 and the second section 116. It can be appreciated that each of the tabs 110 can be seen as being cantilevered from the end cover 54. It can also be seen that, in the embodiment shown, the tabs 110 have a length that is greater than the length of the side wall 98 and the free end 118 extends a distance beyond (in FIG. 3 above) the base 96.

As described above, the tabs 110 can be oriented with respect to the end cover 54 and the housing 22 in a variety of configurations. Again in reference to FIG. 3, in the particular example illustrated, the tabs 110 are configured to be in extension from the media-containing portion 99 of the end cover 54. For example, in FIG. 3, the tabs 110 extend from the bight 114 of the wall 98.

When the cartridge 24 is operably oriented within the interior 44 of the housing 22, the tabs 110 engage the thread plate 24 of the housing 22 (in particular, in FIG. 1), in order to allow fluid flow between the filter cartridge 24 and the housing wall 28. In reference again to FIG. 1, it can be seen how the free-ends 118 of each of the tabs 110 engages the rim 92 in order to space the cartridge 24 from the thread plate 74 and define volume 122.

To construct the filter arrangement 20 shown in FIGS. 1 - 3, generally a can 26 is provided. At this point, the can 26 does not include a mechanism 70 attached thereto.

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The filter cartridge 24 is provided and is inserted through the open mouth 32 of the can 26. The filter cartridge is biased against the spring 67, and the thread plate 74 is oriented within the open mouth 32. The thread plate 74 is pressed against the filter cartridge 24 by engagement between the free end 118 of the tabs 110 and the bottom rim 92 of the thread plate 74. The thread plate 74 is secured to the can 26 by mechanical engagement and press fitting therebetween. In the illustrated embodiment, the filter cartridge is held in place within the housing 22 by biased engagement of the spring 67 against the thread plate 74. In other embodiments, there may not be a spring used.

An alternate embodiment of the housing 22 is shown in FIG. 4. In this embodiment, the thread plate 74 is secured to the housing wall 28' by way of fusion bonding such as a weld connection 124. As can be seen in FIG. 4, when connecting the thread plate 74 and the wall 28' in this fashion, the wall 28' does not have different areas of diameter adjacent to the open end 32'.

Another embodiment of the housing 22 is shown in FIG. 5. In this embodiment, the housing wall 28" includes an inwardly extending projection or bead 126. As shown in this particular embodiment, the bead 126 is circumferential and extends completely around the rim of the housing 28". The wall 28" has extending between the bead 126 and a free end 128 that defines the open mouth 32" an attachment portion 130. The attachment portion 130 allows the thread plate 74 to be secured to the housing wall 28" by way of fusion bonding, such as a weld 12. Note that the rim 92 of the thread plate 74 engages the bead 126. In this embodiment, the tabs 110 engage the bead 126. In the embodiment shown, the rim 92 and the tabs 110 engage opposite sides 133, 134 of the bead 126.

In reference now to FIGS. 6 - 12, and starting with FIG. 7, another embodiment of a filter arrangement is shown generally at 140. In FIG. 7, a filter assembly is shown generally at 142 and includes a filter head 144 with the filter assembly 142 removably secured thereto. The filter head 144 includes a body or block 146 defining fluid flow channels 148, 150. In the embodiment shown, each of the flow channels 148, 150 terminates at a flow port 152, 154. In a forward-flow arrangement, the flow channel 148 corresponds to an inlet or dirty fluid flow channel 149 with the port 152 corresponding to an inlet flow port 153. In a forward-flow system, the flow channel 150 corresponds to an

outlet or clean flow channel 151 with the port 154 corresponding to an outlet flow port 155. A seal member 159 is shown between housing end 176 (FIG. 8) and the filter head 144.

When the filter head 144 is operably connected to the filter arrangement 140, the flow channel 148 terminates at port 156 which is in fluid flow communication with volume 158. Volume 158 is defined further below. The flow channel 150 terminates at port 160, and when the filter head 144 is in operable connection with the filter arrangement 140, the port 160 is in fluid flow communication with the open filter interior 162.

As with the embodiment of FIG. 1, the filter arrangement 140 shown in FIG. 7 includes a housing 166 and a filter cartridge 168 oriented therewithin. The housing 166 is embodied in the form of a can 170 having a surrounding wall 172 and defining a closed end 174 and an open mouth or end 176 (FIG. 8).

In reference to FIG. 8, the housing 166 includes a threaded region 178 adjacent to the open mouth 176. The threaded region 178, in the particular embodiment shown, is shown to be manufactured as a part of the can 170. The threaded region 178 can include, for example, rolled threads 179 or other types of formed threads. The threaded region 178 can also be made through a process of machining internally into the can 170 the threads. Alternatively, the housing 166 may include mechanism 70 of the type shown in FIG. 1, embodied in the form of thread plate 74 mechanically secured to the can 170. It should be understood that the housing 22 in FIG. 1 can also be the type of housing shown in FIG. 8 with a threaded region 178 as shown.

The particular embodiment of the housing 166 illustrated in FIG. 8 includes an inwardly extending projection or bead 180. The bead 180 is analogous to the bead 126 illustrated in FIG. 5. The bead 180 is circumferential and extends completely along the internal radial surface 182 of the housing wall 172. As can be seen in FIG. 8, the bead 180 is located in a position between the closed end 174 and the threaded section or region 178. The threaded region 178 is located between the bead 180 and the open mouth 176. The bead 180 is depicted as being generally curved shape having opposite sides 184, 186 joined together at a curved apex 188. As will be explained further below, the bead 180

interacts with structure to space the filter cartridge 168 from the housing wall 172 to define a fluid flow path between the filter cartridge 168 and the wall 172.

In reference now to FIGS. 9 and 10, one embodiment of the filter cartridge 168 is illustrated. The filter cartridge 168 is similar to the filter cartridge 24, except for the end cover 54 of FIG. 1. The filter cartridge 168 includes a region of media 190 extending between a first end cap or end cover 192 and a second end cap or end cover 194. The filter media 190 can be a variety of different media types. In the example shown, the media 190 is pleated media 196. The pleated media is formed in a tubular configuration such that it defines and surrounds open filter interior 162. Also extending between the end covers 192 and 194 is an internal support tube or liner 198. The inner liner 198 is circumscribed by the pleated media 196. The inner liner 198 is constructed of a material such as a rigid plastic with a plurality of holes 202 formed to allow for the flow of fluid therethrough. As can be seen in FIG. 10, the second end cap 194 is a closed end cap, which defines no openings or holes. The second cap 194 covers the end 204 of the media 190 and closes the open filter interior 162 at the end 204. The end cover 192 defines an aperture or opening 206 to allow fluid flow communication with the open filter interior 162.

In reference again to FIG. 7, the filter arrangement 140 includes a projection arrangement 210 analogous to the projection arrangement 100 described above. The projection arrangement 210 is constructed and arranged to space the filter cartridge 168 from the housing 166 to define a fluid flow path between the filter cartridge 168 and the housing wall 172. This can be seen by a review of FIG. 7. Fluid to be cleaned is directed through the inlet port 153 into the filter head 144. The fluid flows through the dirty flow channel 149 and out through the port 156. From there, the fluid flows into the volume 158 between the cartridge 168 and the housing wall 172. The projection arrangement 210 spaces the cartridge 168 from the housing 166 to allow a fluid path for the fluid to flow in order to get to the volume 158. The fluid then flows through the filter media 190, which removes at least some of the contaminants therein. The clean fluid flows through the inner liner 198 and into the open filter interior 162. From there, the clean fluid flows through the aperture 206 (FIG. 10) and through the port 160. From there, it flows

through the clean flow channel 151 and exits the filter head 142 through the outlet port 155.

As explained above with respect to the embodiment of FIG. 1, the projection arrangement 210 can be embodied in a variety of configurations. In the particular embodiment shown in FIGS. 6 - 12, the projection arrangement 210 is part of the end cover 192. In reference now to FIG. 12, the end cover 192 includes a base 212 and a side wall 214. The base 212 is generally orthogonal to the end 205 (FIG. 10) of the filter media 190. The side wall 214 is generally parallel to the direction of the filter media 190. The side wall 214, in the embodiment shown in FIG. 12, is also generally orthogonal to the base 212. The side wall 214, in the embodiment shown, defines a media containing portion 216 that forms a continuous wall 218 around the filter media 190. As can be seen in FIG. 12, the media-containing portion 216 extends from the base 212.

Still in reference to FIG. 12, the projection arrangement 210 includes at least one, and in the embodiment shown, a plurality of projections 220. In the embodiment shown in FIGS. 11 and 12, there are three projections 221, 222, and 223. In FIG. 11, it can be seen how the projections 221, 222, and 223 are equally spaced from each other in the example embodiment. In other embodiments, the spacing can vary. In the embodiment shown, each of the projections 221, 222, and 223 extends radially from the end cover 192. In particular, in FIG. 12, it can be seen how the projection 221 extends radially from the base 212 of the end cap 192. Each of the projections 221, 222, 223 is, in the embodiment shown, generally orthogonal relative to the media containing portion 216.

In the embodiment shown in FIGS. 11 and 12, each of the projections 221, 222, 223 is in the form of tabs 226 extending from the end cap 192. The tabs 226 extend from or project from the outer peripheral rim 228 of the base 212.

The tabs 226 are formed of a material that provide a desired amount of flexibility and elasticity. As such, the tabs 226 can elastically deform under hand force to allow for the operable installation of the filter cartridge 168 into the housing 166. This is described further below.

In reference again to FIG. 7, interaction between the filter cartridge 168 and the filter housing 166 can be seen through the engagement of the tabs 226 with the bead 180. In particular, the tabs 226 are engaged against side 186 of bead 180 to trap and hold the

filter cartridge 168 into the housing 166. A fluid flow path 230 is formed between the end cover 192 and the filter housing 166 because of the space or volume between the outer periphery 228 of the end cover 192 and the wall 172. The tabs 226 engage the internal radial surface 182 of the can to create the flow path 230. The outer axial surface 232 (FIG. 12) of the tabs 226 engages the side 186 (FIG. 8) of the can 166 to hold the cartridge 168 into the housing 166. Thus, the cartridge 168 is trapped between the bead 180 and the closed end 174 in the housing 166.

To construct the filter arrangement 140, the housing 166 is provided. The filter cartridge 168 is provided and inserted through the open end 176. The second axial surface 234 (FIG. 12) that is opposite from the outer axial surface 232 engages the side 184 of the bead 188. Force is applied to the filter cartridge 168 to press the cartridge 168 past the bead 188 and further into the housing 166. As this force is applied, the tabs 226 elastically deform and snap over the bead 180. The final resting position has the tabs 226 engaged against the bead 180; specifically, the outer axial surface 232 is engaging the side 186 of the bead 180. This creates a fluid flow path 230 between the outer peripheral rim 228 and the filter housing 166. The filter arrangement 140 is then assembled onto the filter head 144 to form filter assembly 142. This is done by spinning the filter arrangement 140 relative to the filter head 144 through the mating of the threaded region 178 on the housing 166 with threads 236 (FIG. 7) on the filter head 144.

The tabs 226 can be constructed from a variety of materials including plastic, glass filled nylon, metal, composites, or an independent spring.

Attention is next directed to FIG. 13, which shows an alternate embodiment for a filter arrangement generally at 240. The filter arrangement 240 includes housing 242 having a modified mouth 244. Positioned within the housing 242 is a filter cartridge 246. The cartridge 246 is analogous to the cartridges 24, 168, with the exception of the end cover 248. This is explained further below.

The modified mouth 244 of FIG. 13 and FIG. 15 is formed to have a cross-sectional opening that is greater than the cross-section of the main body 250 of the housing 242. As such, a wall 252 of the housing 242 angles radially outwardly (that is, away from a central longitudinal axis 254) at wall section 256 of the wall 252. In FIG. 16, the mouth (not shown) has a cross-sectional opening that is smaller than the cross-



section of the main body 250. In FIG. 16, wall 252' angles radially inwardly at wall section 256' of wall 252'.

The housing 242 includes structure 258 for connecting with a filter head. In the embodiment shown, the structure 258 is schematically depicted as a thread plate 260 having threads 262 for engaging the filter head. The dashed line 264 illustrates, schematically, the position of the bottom base of the filter head.

The thread plate 260 can be secured to the can 243 in a variety of manners, and in the particular embodiment illustrated, it is done through metal fusion bonding such as a weld 266.

In this embodiment, there is again a projection arrangement 268 to space the filter cartridge 246 from the housing 242 and define a fluid flow path between the filter cartridge 246 and the housing 242. In this embodiment, the projection arrangement 268 includes a plurality of projections 270 in extension from the end cover 248. In the embodiment shown, the projections 270 are in the form of tabs 271, 272 extending from the end cover 248. While two tabs 271, 272 are shown in this schematic, cross-section, there may be three or more tabs extending from the end cover 248. In this embodiment, as with all other embodiments described, the projections 270 may either be a permanent, integral connection to the end cover 248; or, alternatively, the projections 270 are not permanently secured to the end cover 248 -- rather, they are press fit over the end cover 248. Alternatively, the projections 270 are secured (permanently or removably) to the housing 242.

The projections 270 include both radial extensions 274 and angled extensions 276. In particular, the angled extensions 276 are depicted as extending at an angle  $\theta$  measured relative to the outer surface of the filter media 278. The angle  $\theta$  is depicted as being non-orthogonal and not  $180^\circ$ . In the embodiment illustrated, the angle  $\theta$  is shown to be an acute angle (less than  $90^\circ$ ). In particular, the angle  $\theta$  shown is between  $10^\circ$  -  $60^\circ$ . Extending from the angled extension 176 is the radial extension 274. The radial extension 274 is illustrated, in this embodiment, as being generally parallel to a base 280 of the end cover 248.

The thread plate 260 includes a rim 282 located adjacent to the weld joint 266. When assembling the filter arrangement 240, the filter cartridge 246 is inserted into the

housing 242. The projections 270 elastically deform (or bend) and snap over the rim 282 of the thread plate 260. Once over the rim 282, the projections 270 engage the housing 242 at either the wall 252, the thread plate rim 282, or both. The rim 282 helps to keep the filter cartridge 246 in place within the housing 242 and prevent it from falling out.

The arrangement of FIG. 13 allows for an extended length of filter media 278. This provides a longer lifetime to the cartridge 246 than in embodiments having a shorter length of media and less overall media.

FIG. 14 illustrates a variation in the thread plate 260 for the filter arrangement 240. In FIG. 14, the thread plate 260' is again welded at weld seam 284 to the wall 252. The thread plate 260', in this embodiment, is metal such as steel. A threaded steel boss 286 is bonded to an aluminum head 288. This type of arrangement will allow for an increased flow area into the can 243 over arrangements that would include solid aluminum at the thread plate 260'.

FIGS. 15 and 16 show two alternate embodiments of the weld point between the thread plate 293 and the can. FIG. 15 shows an externally welded can having a weld seam 290, while FIG. 16 shows an internally welded can having a weld seam 292. The thread plate 293 includes an axially disposed groove 295 for holding an O-ring gasket 294. The gasket 294 forms a seal with the filter head 144 when mounted thereto.

In use, to purify fluids using filters of the type described herein in FIGS. 1 - 16, the filter arrangements are provided and spun onto a filter head. The fluid to be clean flows through the filter head, into the housing, through the filter cartridge, out of the filter housing, back through the filter head, and then out of the filter head. After a period of use, the filter media will become clogged or occluded. At this point, servicing of the filter is desired. To service the filter, the filter is removed by spinning it off of the head. That is, the threaded engagement between the filter arrangement and the filter head is unmated. In some uses, the entire filter arrangement will be disposed of and replaced with a new filter arrangement. For example, in the embodiments of FIGS. 1 - 5, the entire filter arrangement is discarded and replaced with a new filter arrangement. Also, in the embodiments of FIGS. 7 - 16, the entire filter arrangement may be disposed of and replaced with a new filter arrangement. It is contemplated that in certain arrangements, such as in the embodiments of FIGS. 7 - 16, the old filter cartridge can be removed from

the housing by pulling it through the mouth. The tabs will either break off or flex over the internal housing projections to allow for the removal of the filter cartridge from the housing. In such instances, the old filter cartridge is discarded (or recycled) and replaced with a new filter cartridge. The new filter cartridge is inserted into the housing. If the tabs are connected to the cartridge, the tabs flex and snap over the housing bead or projection. If the tabs are separate from the cartridge, the projection arrangement is mounted in place in the housing until the tabs flex and snap over the housing bead or projection. The new filter arrangement can again be mounted in operable assembly onto the filter head.

In the embodiments described, the projections on the end cap can be made from a variety of materials including metal, plastic, and composites. The housings can be plated or finished with chrome or nickel plating or heat treated before the cartridge is inserted therein. This allows the housing to be treated for corrosion resistance. It should be appreciated that due to the convenient assembly, the housing can be manufactured independently and in a separate facility or separate location from the manufacturing of the filter cartridges. The final assembly of the cartridge within the housing can be done at yet a third location.

In some applications, the filter media used therein can be a resin bed. The resin bed can be used to fill up to the outer diameter of the housing.

The thread plate can be made from a variety of materials including stamping, machining from pipe, or formed from powdered metal.

### **ASPECTS OF THE INVENTION**

1. A fluid filter arrangement comprising:
  - (a) a housing having a wall defining a closed end, an open end, and an interior volume;
  - (b) a filter cartridge oriented within said interior volume of said housing; said filter cartridge including a tubular construction of filter media defining an open filter interior;
    - (i) said tubular construction of filter media having a first end; and

- (c) an end cap secured to said first end of said tubular construction of filter media; said end cap defining an aperture in fluid communication with said open filter interior; said end cap including a projection arrangement;
- (i) said projection arrangement being constructed and arranged to space said filter cartridge from said housing wall to define a fluid flowpath between said filter cartridge and said housing wall.
2. A fluid filter arrangement according to claim 1 wherein:
- (a) said end cap includes a base and a sidewall;
- (i) said projection arrangement comprising at least one projection in extension from at least one of said base and said sidewall.
3. A fluid filter arrangement according to claim 2 wherein:
- (a) said at least one projection is in engagement with said housing;
- (i) said fluid flowpath being defined by a region between: said at least one projection, said sidewall, and said base.
4. A fluid filter arrangement according to claim 3 wherein:
- (a) said projection arrangement includes a plurality of projections.
5. A fluid filter arrangement according to claim 4 wherein:
- (a) each of said projections extends axially to engage said housing.
6. A fluid filter arrangement according to claim 5 wherein:
- (a) each of said projections extends axially from said sidewall of said endcap.
7. A fluid filter arrangement according to claim 6 wherein:
- (a) said sidewall includes a media-containing portion that forms a continuous wall around said filter media;
- (i) said media-containing portion extending from said base and having an end;

(A) each of said projections being in extension from said end of said media-containing portion.

8. A fluid filter arrangement according to claim 7 wherein:
  - (a) each of said projections includes a free end;
    - (i) each free end of said projections engaging a thread plate of said housing adjacent to said open end.
9. A fluid filter arrangement according to claim 4 wherein:
  - (a) each of said projections extends radially to engage said housing.
10. A fluid filter arrangement according to claim 9 wherein:
  - (a) each of said projections extends radially from said base of said endcap.
11. A fluid filter arrangement according to claim 10 wherein:
  - (a) said sidewall includes a media-containing portion that forms a continuous wall around said filter media;
    - (i) said media-containing portion extending from said base; and
    - (ii) said projections being generally orthogonal relative to said media-containing portion.
12. A fluid filter arrangement according to claim 11 wherein:
  - (a) said housing wall includes a protrusion arrangement; and
  - (b) each of said projections includes a free end;
    - (i) each free end of said projections engaging said protrusion arrangement.
13. A fluid filter arrangement according to claim 4 wherein:
  - (a) said filter media includes pleated media and a second end opposite of said first end;
  - (b) said end cap is a first end cap; and

- (c) said filter cartridge further includes:
    - (i) a second end cap secured to said second end of said filter media;
      - (A) said second end cap being closed; and
    - (ii) an inner tubular liner circumscribed by said pleated media;
      - (A) said inner tubular liner extending between said first end cap and said second end cap.
14. A filter cartridge comprising:
- (a) a tubular construction of filter media defining an open filter interior;
    - (i) said tubular construction of filter media having a first end; and
  - (b) an end cap secured to said first end of said tubular construction of filter media; said end cap defining an aperture in fluid communication with said open filter interior; said end cap including a base, a sidewall, and a projection arrangement;
    - (i) said sidewall including a media-containing portion that forms a continuous wall around said filter media;
      - (A) said media-containing portion extending from said base and having an end;
      - (B) said media-containing portion being generally orthogonal to said base;
    - (ii) said projection arrangement comprising a plurality of projections in extension from at least one of said base and said media-containing portion.
15. A filter cartridge according to claim 14 wherein:
- (a) each of said projections extends axially from at least one of said base and said media-containing portion.
16. A filter cartridge according to claim 15 wherein:
- (a) each of said projections extends axially from said sidewall of said endcap.

17. A filter cartridge according to claim 16 wherein:
- (a) each of said projections extends from said end of said media-containing portion.
18. A filter cartridge according to claim 14 wherein:
- (a) each of said projections extends radially from at least one of said base and said media-containing portion.
19. A filter cartridge according to claim 18 wherein:
- (a) each of said projections extends radially from said base of said endcap.
20. A filter cartridge according to claim 19 wherein:
- (a) said projections are generally orthogonal relative to said media-containing portion.
21. A filter cartridge according to claim 17 wherein:
- (a) said filter media includes pleated media and a second end opposite of said first end;
  - (b) said end cap is a first end cap; and
  - (c) said filter cartridge further includes:
    - (i) a second end cap secured to said second end of said filter media;
      - (A) said second end cap being closed; and
    - (ii) an inner tubular liner circumscribed by said pleated media;
      - (A) said inner tubular liner extending between said first end cap and said second end cap.
22. A filter cartridge according to claim 20 wherein:
- (a) said filter media includes pleated media and a second end opposite of said first end;
  - (b) said end cap is a first end cap; and
  - (c) said filter cartridge further includes:

- (i) a second end cap secured to said second end of said filter media;
    - (A) said second end cap being closed; and
  - (ii) an inner tubular liner circumscribed by said pleated media;
    - (A) said inner tubular liner extending between said first end cap and said second end cap.
- 23. A filter assembly comprising:
  - (a) a filter head having a fluid flow inlet port and fluid flow outlet port; and
  - (b) a filter arrangement releasably secured to said filter head; said filter arrangement including:
    - (i) a housing having a wall defining a closed end, an open end, and an interior volume;
    - (ii) a filter cartridge oriented within said interior volume of said housing; said filter cartridge including a tubular construction of filter media defining an open filter interior;
      - (A) said tubular construction of filter media having a first end; and
    - (iii) an end cap secured to said first end of said tubular construction of filter media; said end cap defining an aperture in fluid communication with said open filter interior; said end cap including a projection arrangement;
      - (A) said projection arrangement being constructed and arranged to space said filter cartridge from said housing wall to define a fluid flowpath between said filter cartridge and said housing wall.
- 24. A method of making a filter; the method comprising:
  - (a) providing a housing having a closed end, an open end, and an interior volume;
  - (b) inserting a filter cartridge into the housing through the open end;

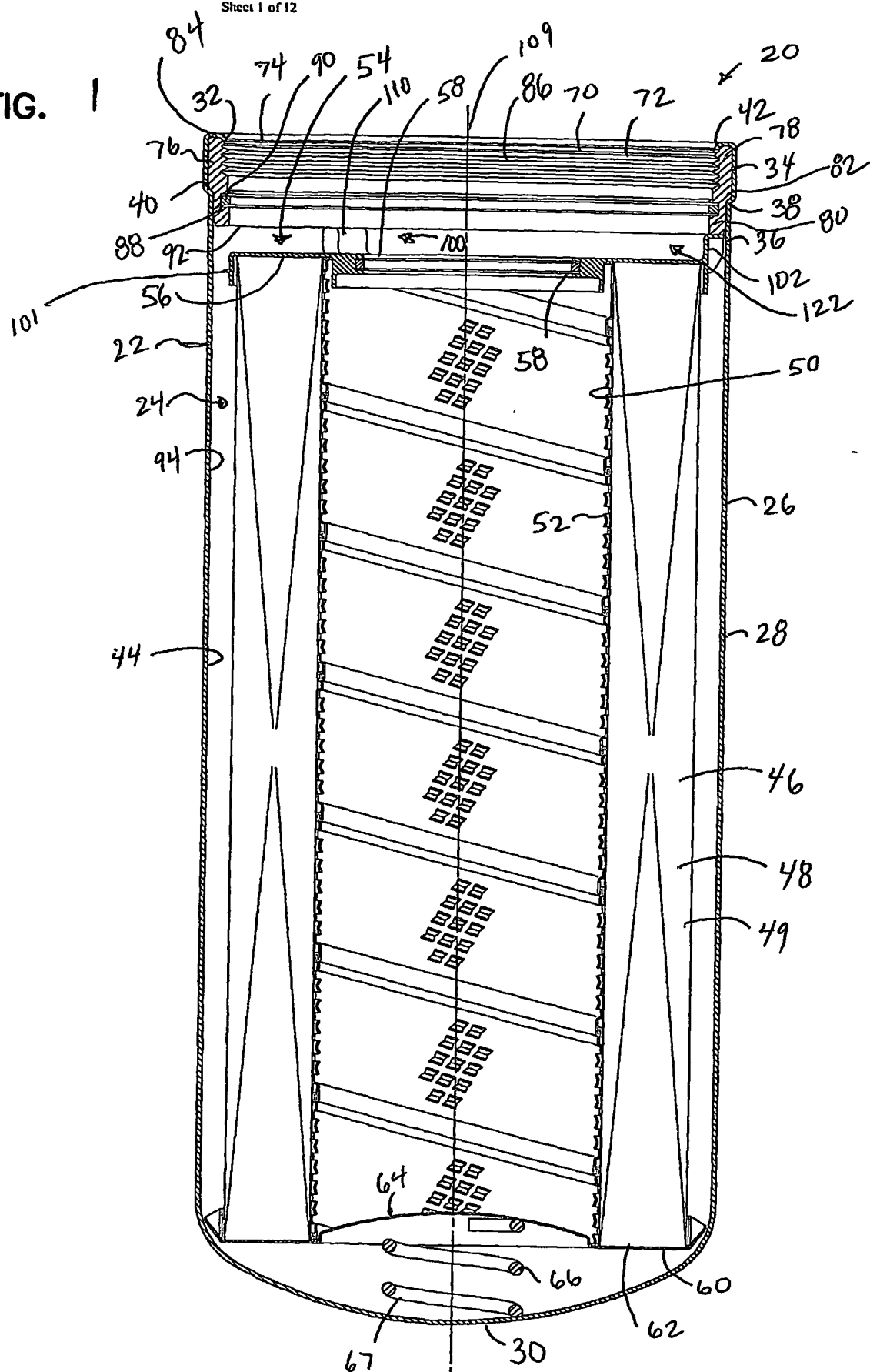


- (i) the filter cartridge including a region of filter media and an end cap secured thereto; the end cap having projections extending therefrom; and
  - (c) engaging the projections against a portion of the housing to secure the filter cartridge in the housing.
- 25. A method according to claim 24 wherein:
  - (a) said step of engaging includes providing a threadplate in the housing open end and engaging the projections axially against the threadplate.
- 26. A method according to claim 24 wherein:
  - (a) said step of inserting includes snapping the projections over a radial protrusion in the housing; and
  - (b) said step of engaging includes engaging the projections against the radial protrusion.

# ABSTRACT OF THE DISCLOSURE

A fluid filter arrangement has a housing, a filter cartridge oriented within the housing, and a projection arrangement. The projection arrangement is oriented to space the filter cartridge from a wall of the housing in order to provide a fluid flow path between the filter cartridge and the housing wall. In some arrangements, the projection arrangement is part of an end cap. Embodiments of the projections include axial extensions, radial extensions, and angled extensions including both radial and axial components. Systems of use, methods of filtering, methods of making, and methods of servicing include arrangements of these types.

FIG. 1



Cuba-Russia      1973-1980



**FIG. 2**

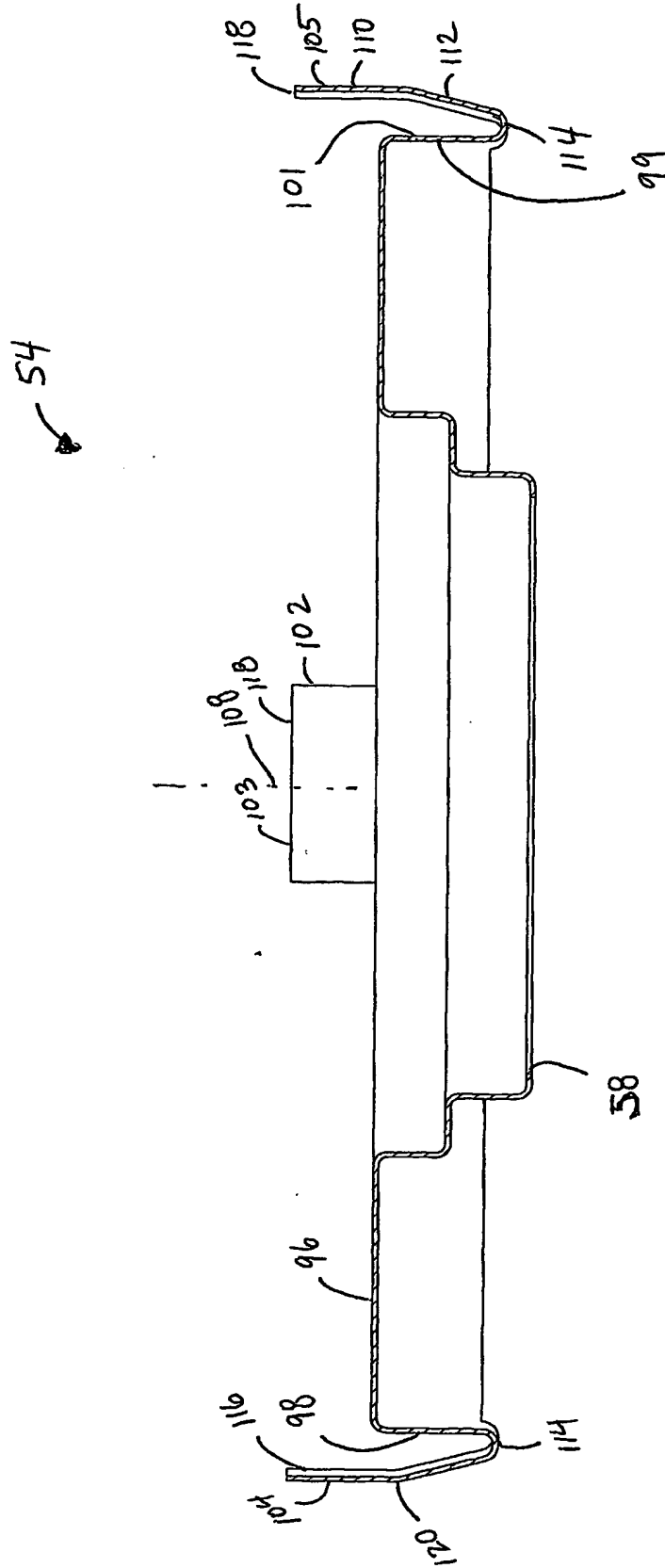


FIG. 3

FIG. 4

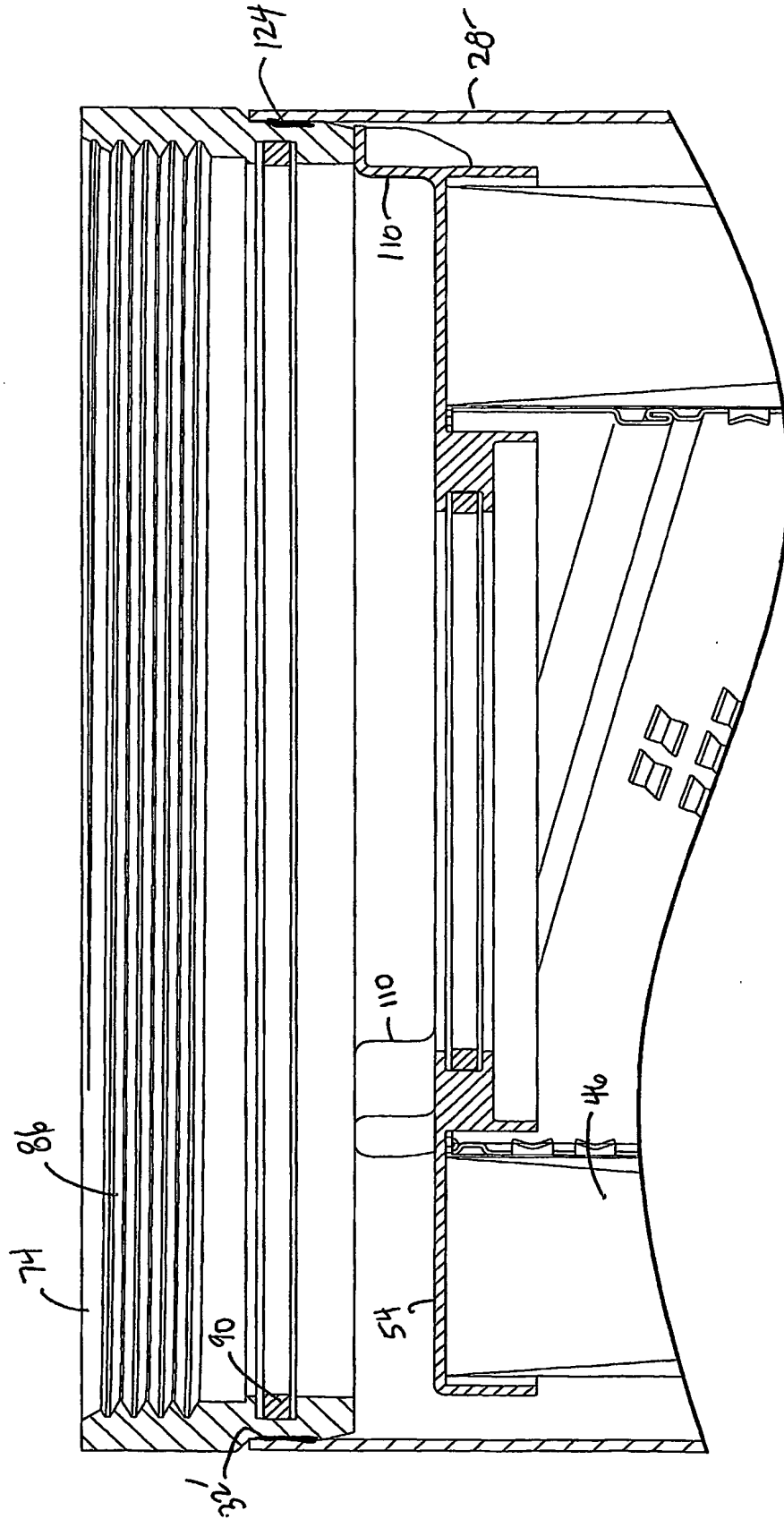
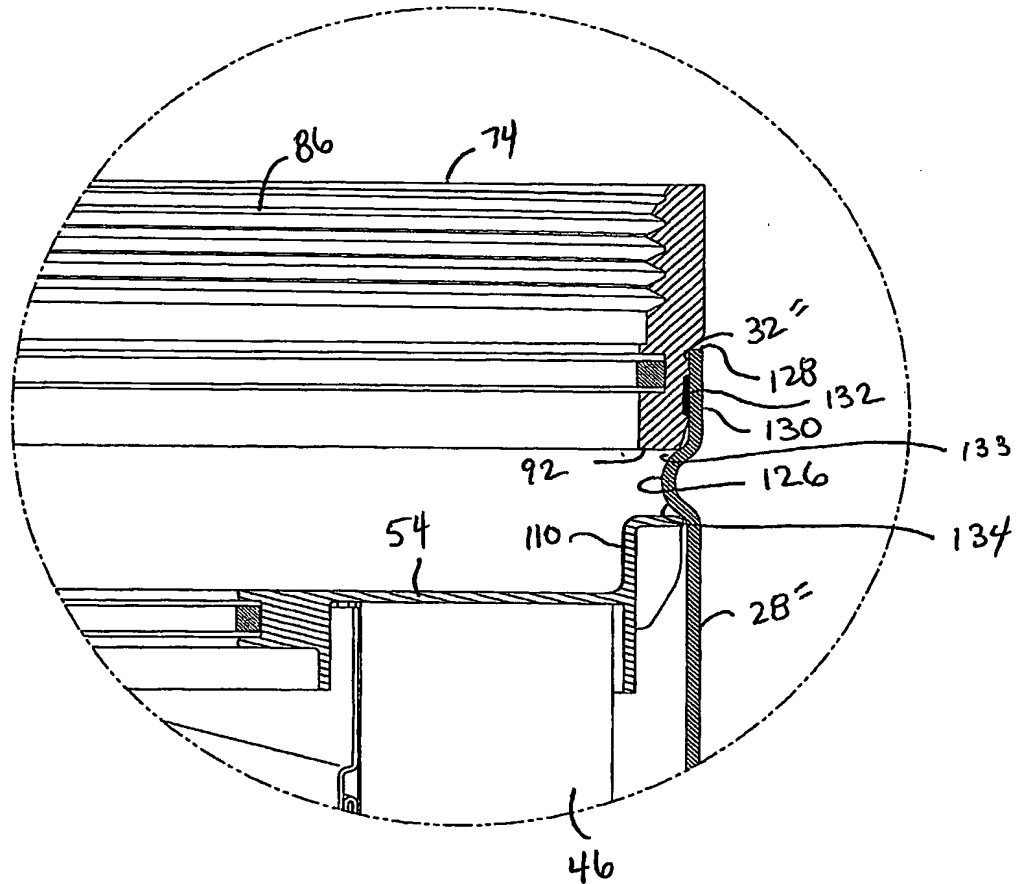


FIG. 5



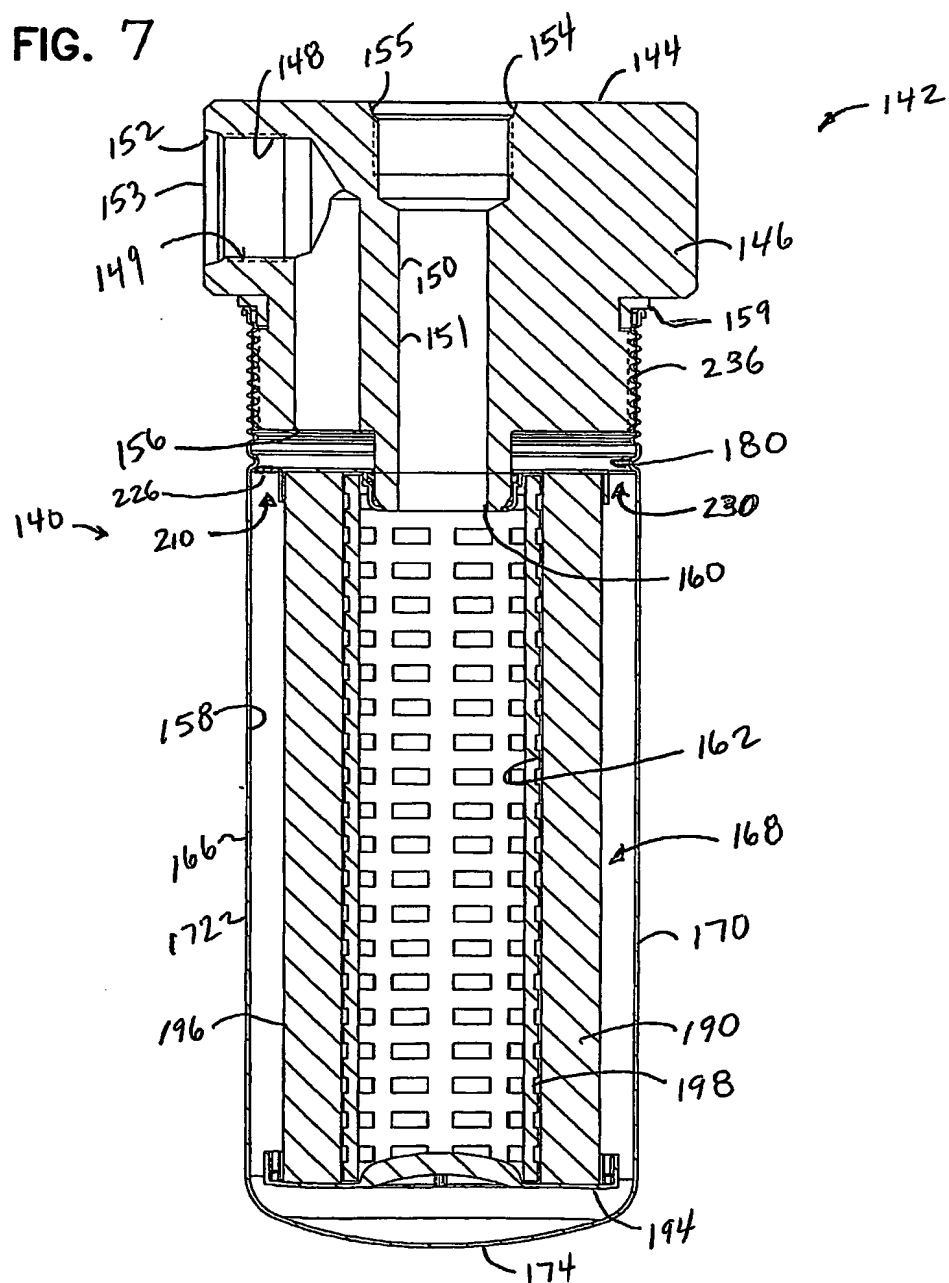
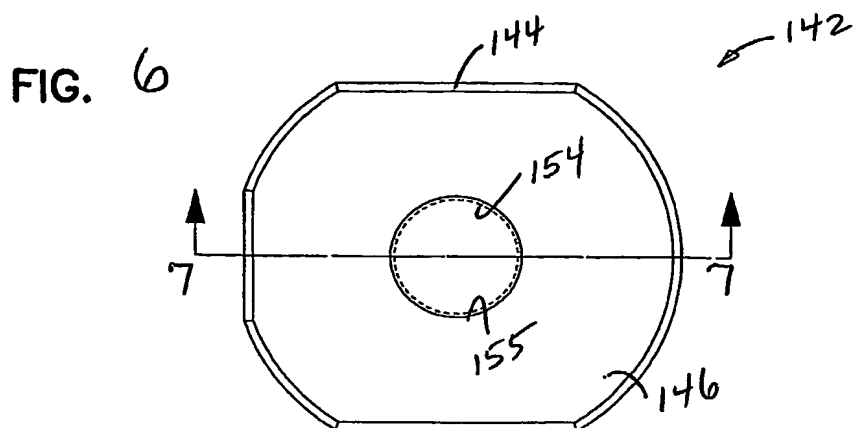




FIG. 8

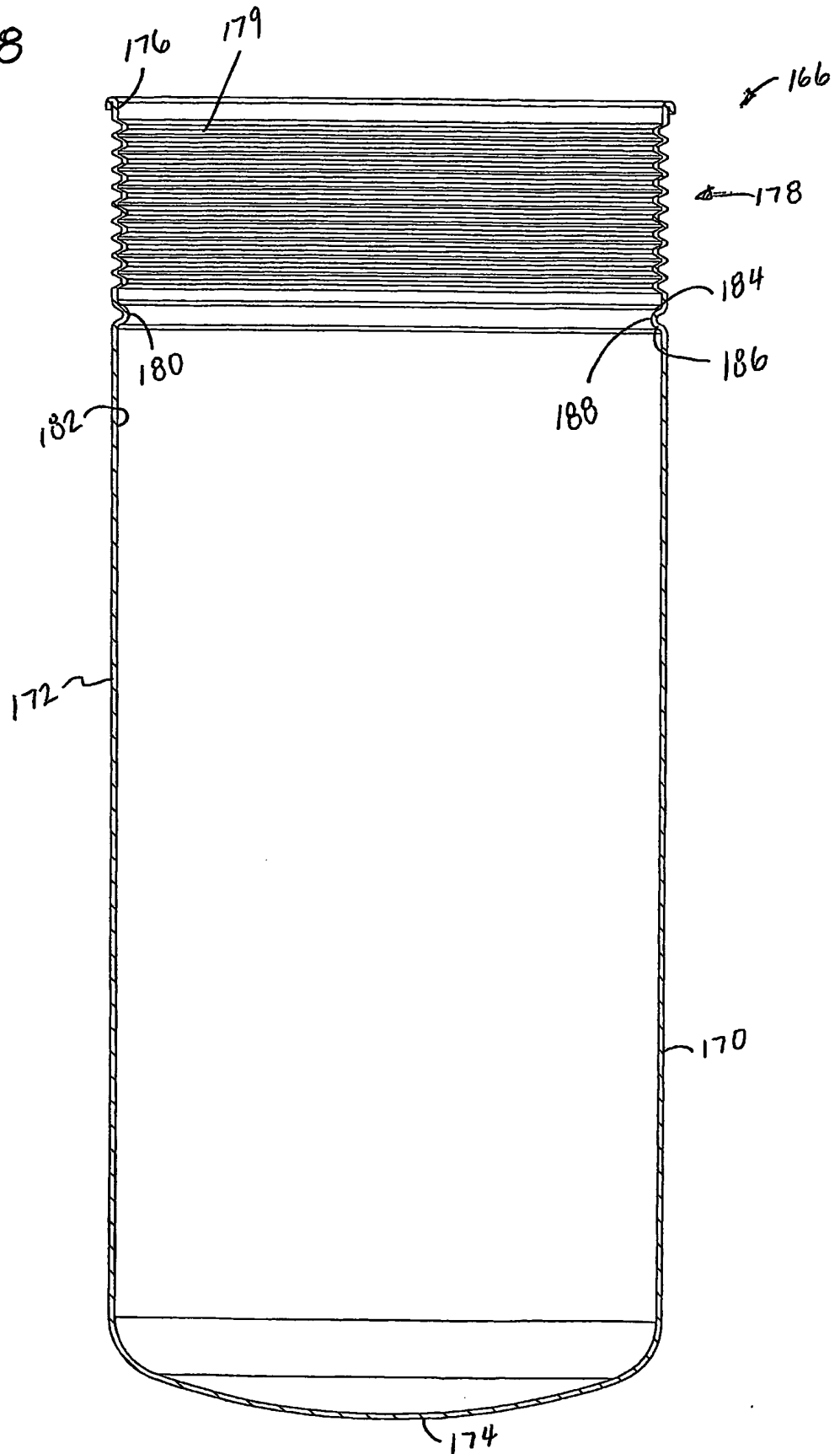


FIG. 9

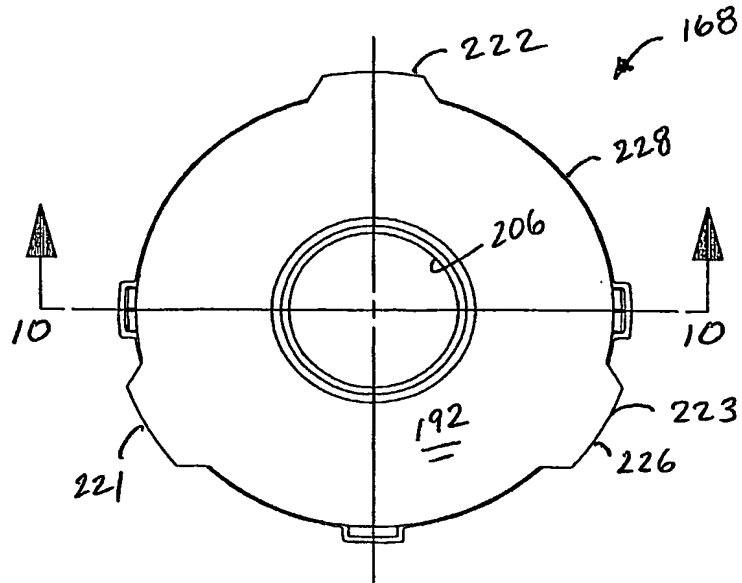


FIG. 10

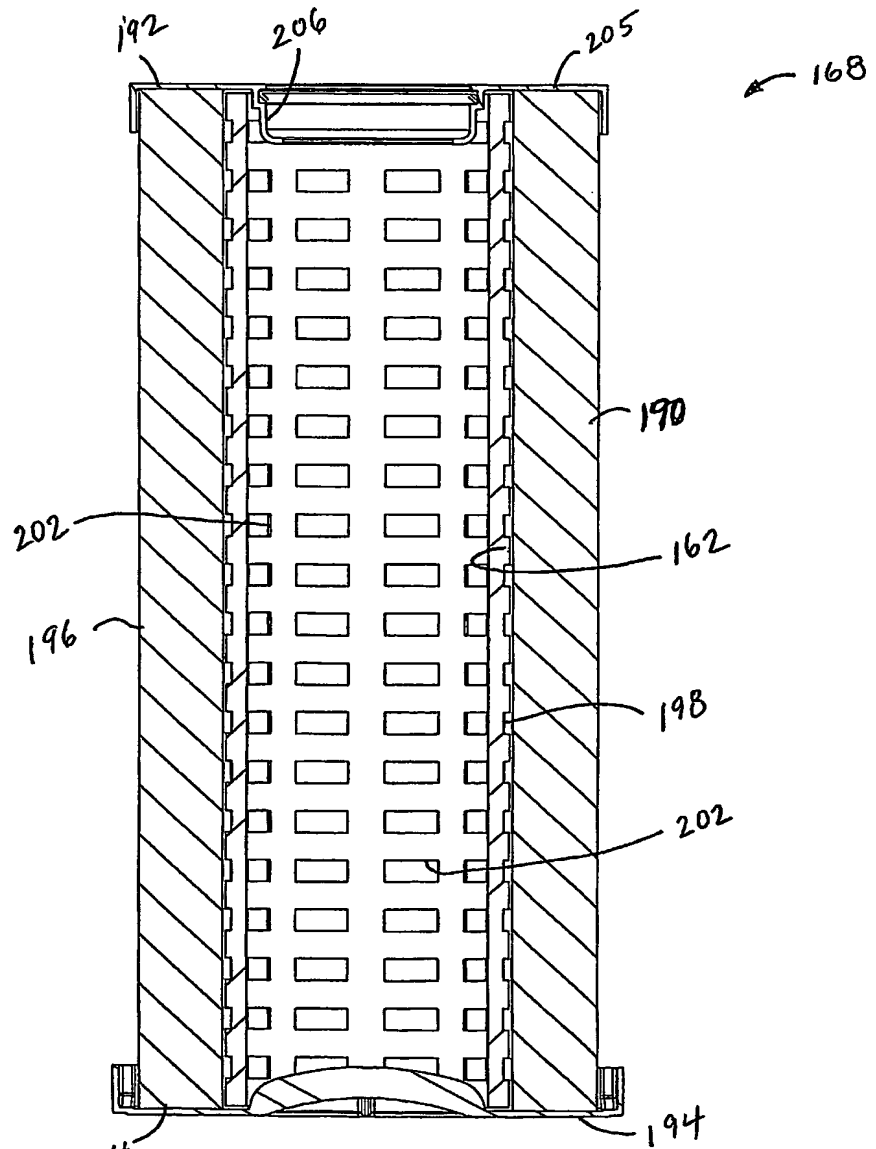


FIG. 11

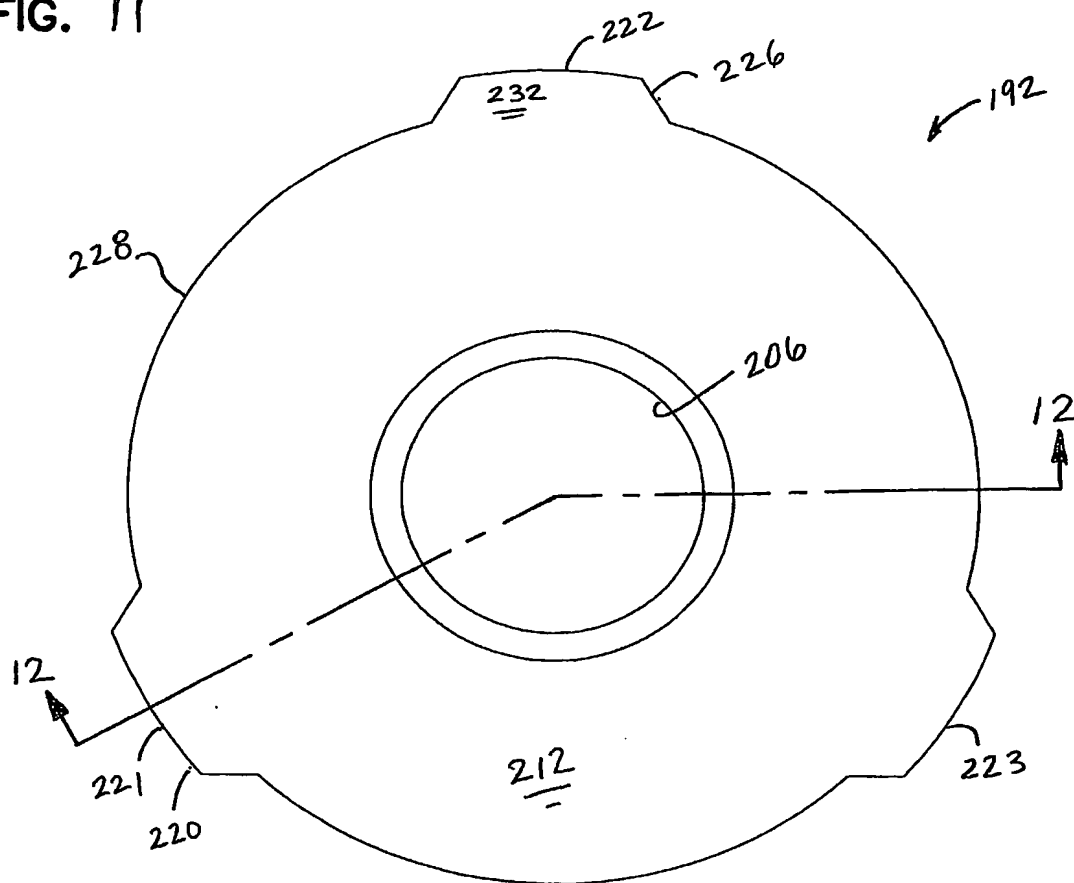
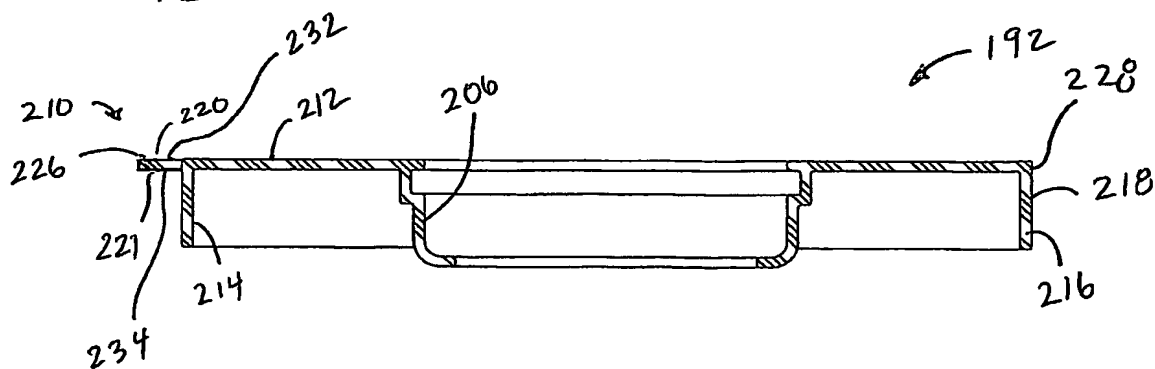
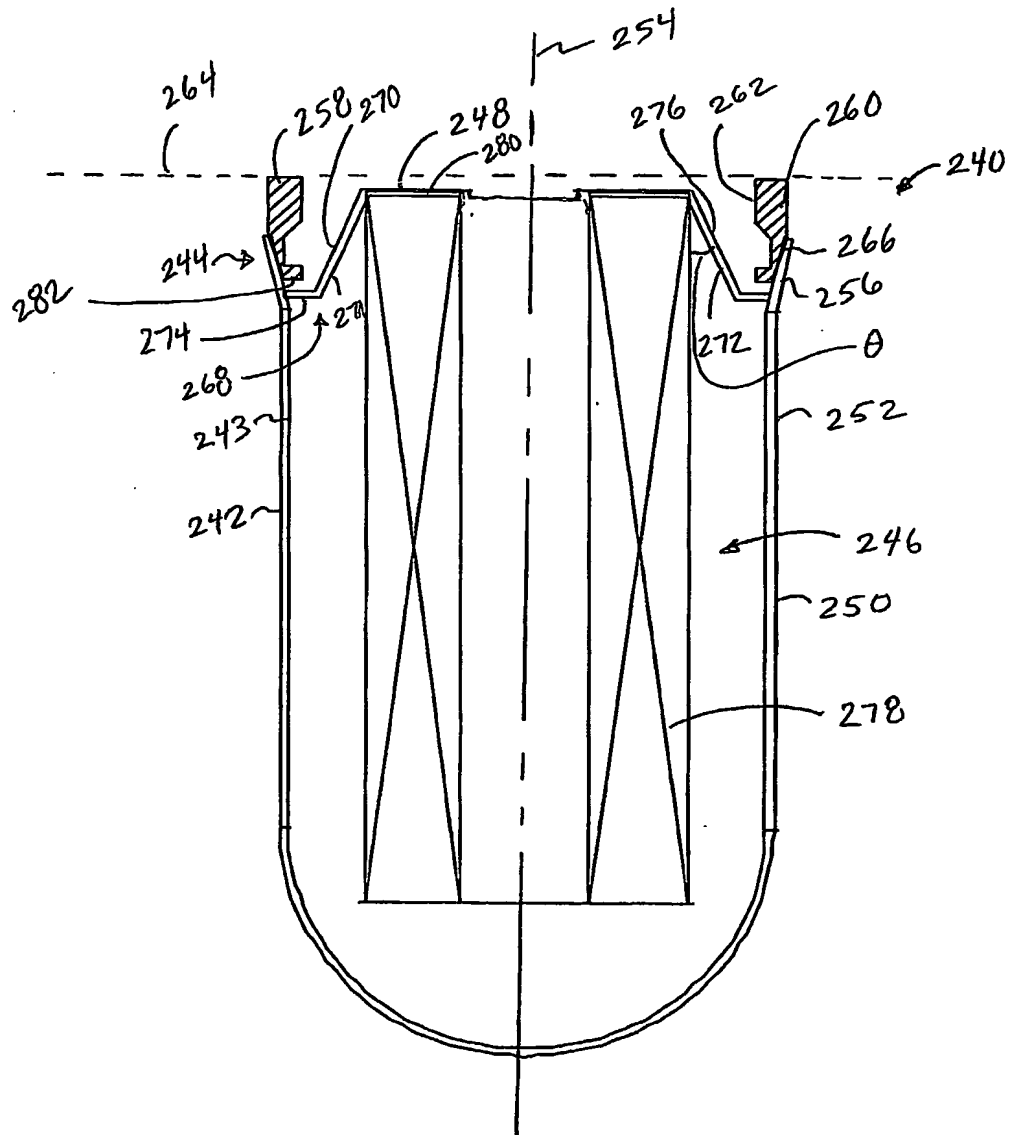


FIG. 12





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FIG. 14

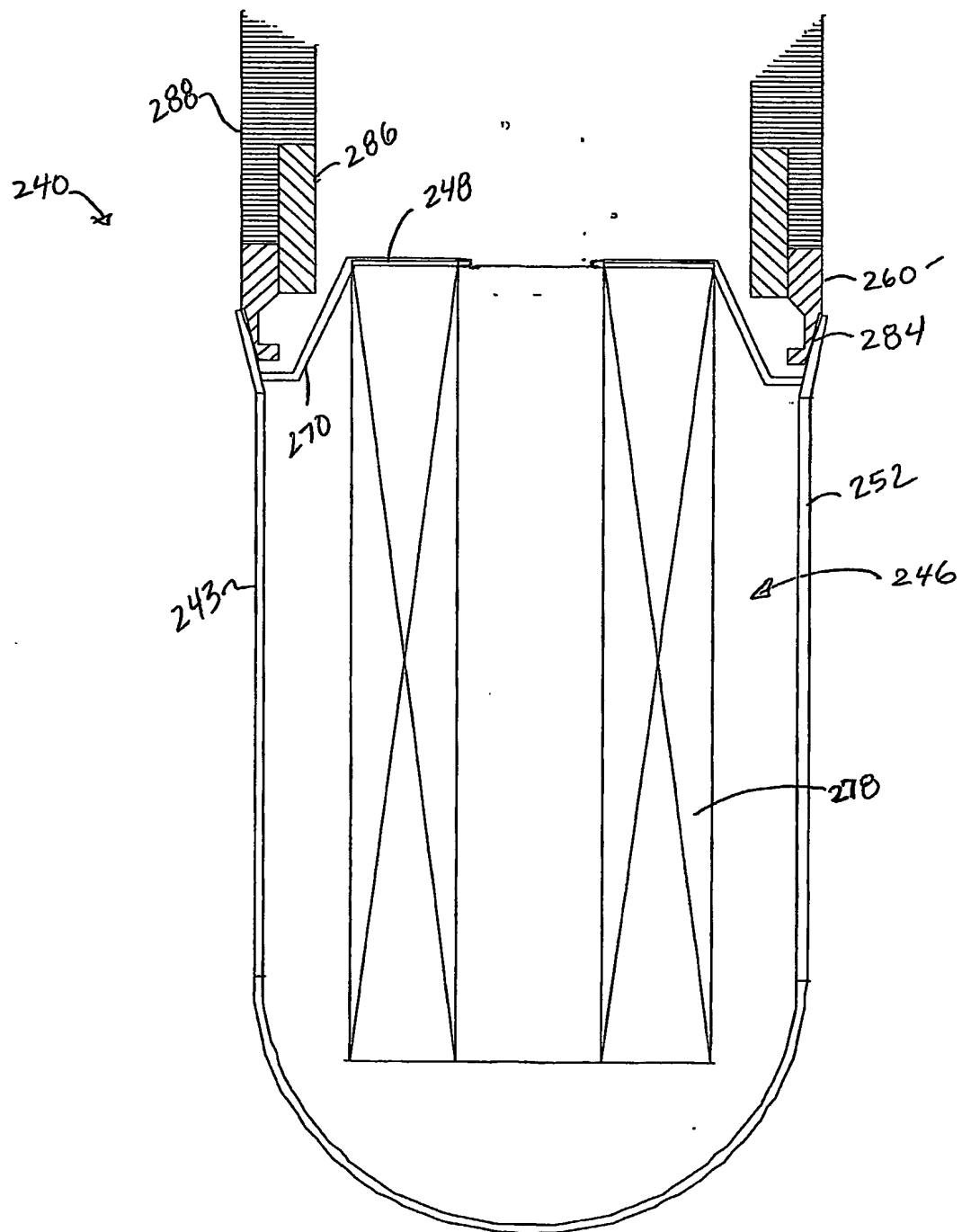


FIG. 15

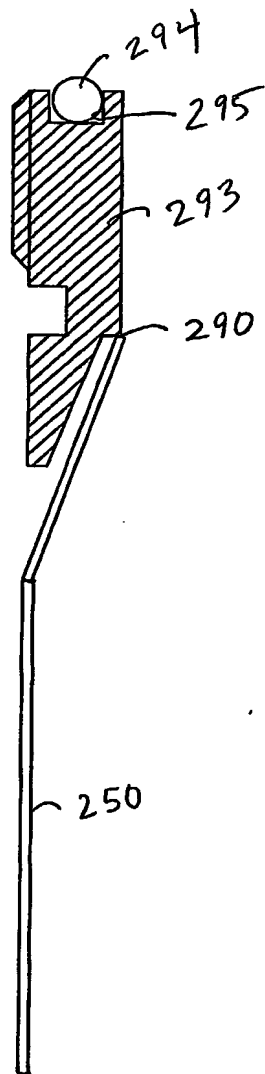
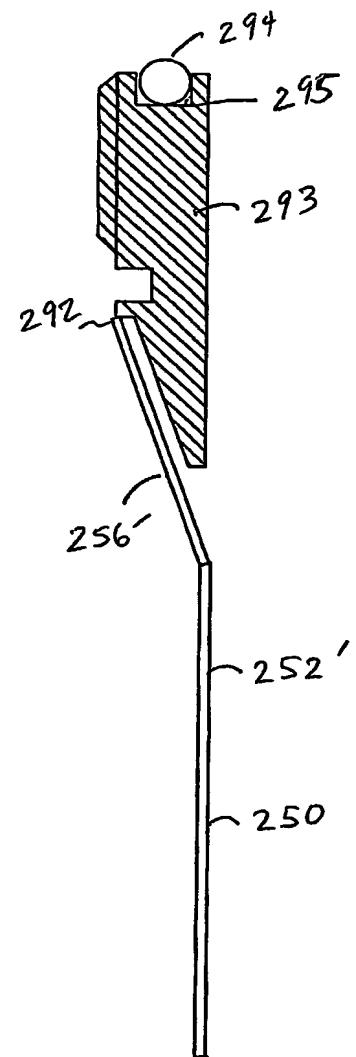


FIG. 16



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